

## Oxidation States of Ytterbium Incorporated in Calcium Carbonate and Calcium Fluoride

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Divalent ytterbium was found in great amounts in calcium carbonate polymorphs (calcite and aragonite) and calcium fluoride, and the  $\text{Yb}^{2+}/\text{Yb}^{3+}$  ratios in these materials increased with increasing saturation states of the respective starting solutions.

Valence of lanthanide elements is dominated by the +3 oxidation state in aqueous solution at room temperature except for  $\text{Ce}^{4+}$  and  $\text{Eu}^{2+}$ .<sup>1,2</sup> Recently, we reported the occurrence of  $\text{Yb}^{2+}$  in synthetic Yb-doped calcite ( $\text{CaCO}_3$ );<sup>3</sup> nevertheless,  $\text{Yb}^{2+}$  is not thermodynamically stable in aqueous solutions. Similarly, Sidike et al. reported photoluminescence (PL) and excitation spectra of natural fluorite ( $\text{CaF}_2$ ) from Okayama prefecture, Japan: that fluorite also showed the presence of  $\text{Yb}^{2+}$ .<sup>4</sup> The present study uses X-ray absorption near-edge structure (XANES) technique to investigate the oxidation state of Yb incorporated in calcium-carbonate polymorphs and calcium fluoride. Thereby, we elucidate the formation mechanism of  $\text{Yb}^{2+}$  in these materials.

We prepared Yb-doped calcium-carbonate polymorphs, calcite, aragonite as follows. Calcite was precipitated from a 1:1 mixture of  $\text{CaCl}_2$  and  $\text{NaHCO}_3$  solutions (each 30 mM ( $\text{mol kg}^{-1}$ )) containing  $5 \mu\text{M}$   $\text{YbCl}_3$ . The mixed solution in a glass vessel was closed with a silicon plug and stirred with a magnetic stirrer at  $30^\circ\text{C}$  for one day. Aragonite was precipitated from a mixed solution of  $\text{CaCl}_2$ ,  $\text{NaHCO}_3$  (each 30 mM),  $\text{MgCl}_2$  (25 mM), and  $0.5 \mu\text{M}$   $\text{YbCl}_3$ . The precipitates were filtered with a membrane filter (25 mm diameter and  $0.45 \mu\text{m}$  pore size, Millipore<sup>®</sup> HAWP02500; Millipore Corp.) then rinsed with milli-Q<sup>®</sup> water. The precipitates were dried at  $100^\circ\text{C}$  in air for 30–60 min. Yb-doped calcium fluoride was precipitated from a mixed solution of 0.1 M ( $\text{mol kg}^{-1}$ ) of  $\text{Ca}(\text{NO}_3)_2$ , 0.2 M of NaF, and  $5 \mu\text{M}$  of  $\text{YbCl}_3$  in a glass vessel.<sup>5</sup> After standing for one day, the supernatant was removed. Then the precipitate was dried at  $100^\circ\text{C}$  in air for 3–5 h.

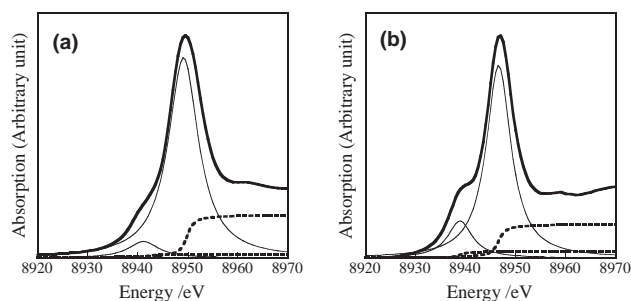
The obtained samples were identified using a powder X-ray diffractometer (MiniFlex; Rigaku Corp.). Concentrations of Yb incorporated in the samples were determined by using an ICP atomic emission spectrometer (SPS 1200A; Seiko Instruments Inc.) after decomposition with 0.1 M  $\text{HNO}_3$ . The respective Yb/Ca molar ratios in calcite, aragonite and  $\text{CaF}_2$  were approximately  $1.2 \times 10^{-3}$ ,  $1.8 \times 10^{-4}$ , and  $8.8 \times 10^{-5}$ .

XANES spectra were collected in fluorescence mode at the beamline BL12C of the Photon Factory, High Energy Accelerator Research Organization (KEK-PF) in Tsukuba, Japan.<sup>6</sup> Measurements in the fluorescence mode enable the detections of trace Yb in the samples. A Si(111) double-crystal monochromator was used. Its beam, smaller than  $1 \text{ mm}^2$ , was focused using a bent cylindrical mirror. The  $\text{Yb L}_{\text{III}}$  (8947 eV) absorption

of samples was recorded by the fluorescence yield ( $\text{Yb L}\alpha$ : 7.4 keV) using a 19-element pure Ge solid-state detector. Single-channel analyzers (SCA) selected the energy region around Yb fluorescence. The monochromator was calibrated at 8947 eV ( $\text{Yb L}_{\text{III}}$  absorption edge) using ytterbium oxide powder. The incident X-ray intensity ( $I_0$ ) was monitored using an ion chamber filled with nitrogen gas. The X-ray absorption ( $\mu$ ) is expressed as  $\mu = I_f/I_0$  ( $I_f$  is the fluorescence X-ray intensity and  $\mu$  is plotted against the incident X-ray energy. For each sample, 3–5 scans were conducted.

The XANES spectra were analyzed using a computer program (REX2000; Rigaku Corp.). The main peak (around 8947 eV) and shoulder peak (around 8940 eV) of  $\text{Yb L}_{\text{III}}$ -edge XANES spectra (see Figures 1a and 1b) can be fit by a combination of a Lorentzian function for the white line and an arctangent function for the continuum absorption, respectively.<sup>7</sup> Four parameters: peak position, peak height, full width at half maximum (FWHM) of the Lorentzian curve, and arctangent curve height were optimized for each peak by the least square method at an energy range of 8920–8960 eV. In the fitting procedure, the height ratio of Lorentzian curve to the arctangent curve was kept constant for  $\text{Yb}^{2+}$  and  $\text{Yb}^{3+}$  peaks.

Figures 1a and 1b show the  $\text{Yb L}_{\text{III}}$ -XANES spectra for Yb-doped calcite and aragonite and the results of peak fitting. These spectra had a main white line (around 8947 eV) which is assigned to the electron transition  $2p \rightarrow 5d6s$  of  $\text{Yb}^{3+}$ .<sup>8,9</sup> Additionally, shoulder was discerned in the low-energy side of the main peak (around 8940 eV). The shoulder peak position was consistent with that of  $\text{Yb}^{2+}$  reported for Yb-bearing compounds.<sup>8,9</sup> Tanaka et al. estimated the molar ratio of  $\text{Yb}^{2+}$  and  $\text{Yb}^{3+}$  directly from the intensity ratio of the two peak areas.<sup>7</sup> For the materials examined herein, the large amount of  $\text{Yb}^{2+}$  was incorporated in Yb-doped aragonite prepared in the atmo-

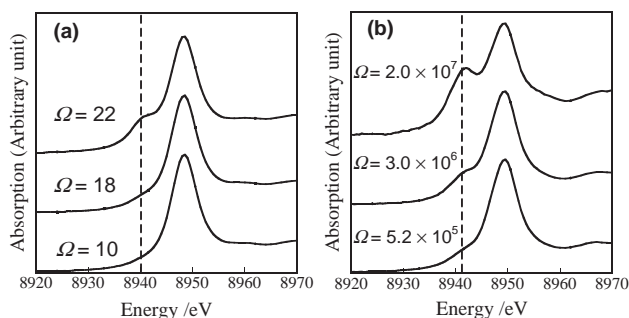


**Figure 1.**  $\text{Yb L}_{\text{III}}$ -edge XANES and deconvoluted spectra of (a) Yb-doped calcite and (b) Yb-doped aragonite. The bold line represents observed XANES, the thin line represents the Lorentzian function, and the dotted line represents arctangent function.

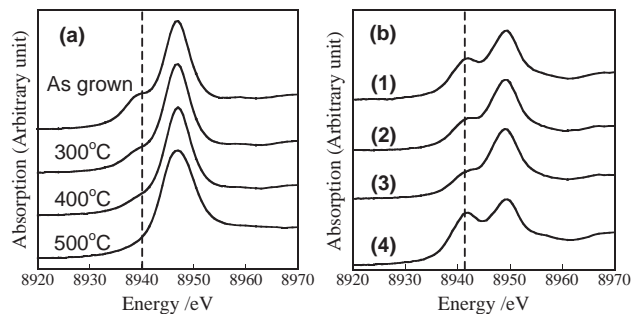
spheric oxygen pressure. The  $\text{Yb}^{2+}/\text{Yb}^{3+}$  ratio in Yb-doped aragonite was about 0.20 and much higher than that of calcite (about 0.14).

Figure 2a shows XANES spectra of Yb-doped aragonite samples precipitated under three saturation states. The  $\text{Yb}^{2+}/\text{Yb}^{3+}$  ratios of the aragonite samples that were precipitated under the saturation states of  $\Omega = 22$  (equivalent to Figure 1b), 18 and 10 were about 0.20, 0.05, and 0.02, respectively. The respective  $\text{Yb}^{2+}/\text{Yb}^{3+}$  ratios in the calcium carbonate increased markedly as the starting solutions' saturation states increased. Similar behavior was observed for  $\text{CaF}_2$ , as displayed in Figure 2b. The  $\text{Yb}^{2+}/\text{Yb}^{3+}$  ratios incorporated into  $\text{CaF}_2$  samples prepared at the saturation states of  $\Omega = 2.0 \times 10^7$ ,  $\Omega = 3.0 \times 10^6$ , and  $\Omega = 5.2 \times 10^5$  were about 0.56, 0.16, and 0.09, respectively. With increasing saturation states, the growth rate and density of lattice defects increase in the grown crystals. Thus, we infer that the increase of the saturation state can increase the respective occurrence of  $\text{O}^{2-}$  and  $\text{F}^-$  vacancies in  $\text{CaCO}_3$  and  $\text{CaF}_2$ . Occurrence of  $\text{O}^{2-}$  and  $\text{F}^-$  vacancies stabilized the  $\text{Yb}^{2+}$  in Yb-doped  $\text{CaCO}_3$  and  $\text{CaF}_2$  ( $2\text{Yb}^{3+} + \text{O}^{2-} \rightarrow 2\text{Yb}^{2+} + \text{V}_{\text{O}}^{2-} + \text{O}$  and  $\text{Yb}^{3+} + \text{F}^- \rightarrow \text{Yb}^{2+} + \text{V}_{\text{F}} + \text{F}$ , where  $\text{V}_x$  represents the vacancy of atom X.).

Figure 3a shows XANES spectra of Yb-doped aragonite samples heated in air for 1 h at temperature of 300, 400, and 500 °C, respectively, followed by quenching to room temperature. The  $\text{Yb}^{2+}/\text{Yb}^{3+}$  ratios in aragonite decreased from 0.20 to 0.09 and 0.05 by heating in air for 1 h at 300 °C and 400 °C, respectively. Finally, no  $\text{Yb}^{2+}$  was found in XANES spectra of the aragonite sample heated for 1 h at 500 °C. Figure 3b shows XANES spectra of as-grown Yb-doped  $\text{CaF}_2$  and of Yb-doped  $\text{CaF}_2$  heated in air or  $\text{H}_2$  gas. The  $\text{Yb}^{2+}/\text{Yb}^{3+}$  ratios in  $\text{CaF}_2$  decreased from 0.56 to 0.33 and 0.28 by heating in air at 500 °C for 1 h and 3 h, respectively (see Figure 3b: (1)–(3)). The oxidation rate of  $\text{Yb}^{2+}$  in  $\text{CaF}_2$  was smaller than that of the aragonite sample. On the other hand, Figure 3b-(4) indicate that the  $\text{H}_2$  gas reacted with  $\text{Yb}^{3+}$  as a strong reducer by heating at 300 °C, thereby markedly increasing the  $\text{Yb}^{2+}/\text{Yb}^{3+}$  ratio (about 0.89). On the other hand, heating in  $\text{H}_2$  gas for 1 h at 500 °C decreased the  $\text{Yb}^{2+}/\text{Yb}^{3+}$  ratio (about 0.45, data not shown). Heating in air or in  $\text{H}_2$  gas at high temperature induced the recombination of vacancies and interstitial O and F atoms followed by formation of  $\text{Yb}^{3+}$  from  $\text{Yb}^{2+}$ . Moreover, heating in air directly oxi-



**Figure 2.** (a) Yb  $L_{\text{III}}$ -edge XANES of Yb-doped aragonite samples precipitated under saturation states of  $\Omega = 22$ , 18, and 10. (b) Yb  $L_{\text{III}}$ -edge XANES of Yb-doped  $\text{CaF}_2$  samples precipitated under saturation states of  $\Omega = 2.0 \times 10^7$ ,  $3.0 \times 10^6$ , and  $5.2 \times 10^5$ .



**Figure 3.** (a) Yb  $L_{\text{III}}$ -edge XANES of Yb-doped aragonite samples after heating in air for 1 h at temperature of 300, 400, and 500 °C. (b) Yb  $L_{\text{III}}$ -edge XANES of (1) as-grown Yb-doped  $\text{CaF}_2$  samples, (2) after heating in air for 1 h at 500 °C, (3) after heating in air for 3 h at 500 °C, (4) after heating in  $\text{H}_2$  gas for 1 h at 300 °C.

dized  $\text{Yb}^{2+}$  to  $\text{Yb}^{3+}$ , whereas the heating experiments in  $\text{H}_2$  reduced  $\text{Yb}^{3+}$  to  $\text{Yb}^{2+}$ . Consequently, the interaction between the formation–recombination of lattice defects and the direct oxidation–reduction induced by diffusion of reacting gas compounds altered the valence of Yb in  $\text{CaCO}_3$  and  $\text{CaF}_2$ . When heating Yb-doped  $\text{CaF}_2$  in  $\text{H}_2$  gas at temperature  $\geq 500$  °C, the recombination of lattice vacancies was dominated and the  $\text{Yb}^{2+}/\text{Yb}^{3+}$  ratio decreased even in the reduced environment. These results imply that formation of  $\text{Yb}^{2+}$  from  $\text{Yb}^{3+}$  in these crystals was induced by lattice defects such as vacancies.

In conclusion, Yb incorporated in calcite, aragonite, and  $\text{CaF}_2$  synthesized under atmospheric conditions contained a considerable amount of  $\text{Yb}^{2+}$ . In particular, formation mechanism of  $\text{Yb}^{2+}$  was considered from aragonite and  $\text{CaF}_2$ , because  $\text{Yb}^{2+}/\text{Yb}^{3+}$  ratios in these materials were much higher than those in calcite. This study suggested that the reduction of  $\text{Yb}^{3+}$  was induced by the existence of lattice defects

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